

Division of Licensing and Protection  
103 South Main Street, Ladd Hall  
Waterbury, VT 05671-2306  
<http://www.dail.vermont.gov>  
Voice/TTY (802) 871-3317  
To Report Adult Abuse: (800) 564-1612  
Fax (802) 871-3318

March 12, 2013

Ms. Mary Belanger, Administrator  
Ethan Allen Residence  
1200 North Avenue  
Burlington, VT 05408-2777

Provider #: 0128

Dear Ms. Belanger:

Enclosed is a copy of your acceptable plans of correction for the complaint investigation conducted on **February 11, 2013**. Please post this document in a prominent place in your facility.

We may follow up to verify that substantial compliance has been achieved and maintained. If we find that your facility has failed to achieve or maintain substantial compliance, remedies may be imposed.

Sincerely,



Pamela M. Cota, RN  
Licensing Chief

PC:ne

Enclosure



Division of Licensing and Protection

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:  0128	(X2) MULTIPLE CONSTRUCTION A. BUILDING _____ B. WING _____	(X3) DATE SURVEY COMPLETED  C 02/11/2013
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NAME OF PROVIDER OR SUPPLIER  ETHAN ALLEN RESIDENCE	STREET ADDRESS, CITY, STATE, ZIP CODE 1200 NORTH AVENUE BURLINGTON, VT 05408
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(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
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R100	Initial Comments:  An unannounced onsite complaint investigation was conducted by the Division of Licensing and Protection on 2/11/13. Based on information gathered, regulatory violations related to the complaint were cited as follows.	R100		
R134 SS=D	<p>V. RESIDENT CARE AND HOME SERVICES</p> <p>5.7 Assessment</p> <p>5.7.a An assessment shall be completed for each resident within 14 days of admission, consistent with the physician's diagnosis and orders, using an assessment instrument provided by the licensing agency. The resident's abilities regarding medication management shall be assessed within 24 hours and nursing delegation implemented, if necessary.</p> <p>This REQUIREMENT is not met as evidenced by: Based on record review and staff interviews, one of three residents in the sample (Resident #3) had a comprehensive assessment which was completed more than 14 days after admission. Findings included:</p> <p>1. Per record review on 2/11/13, Resident #3 was admitted to the home on 12/28/12. The comprehensive assessment document was dated 1/31/13, and was signed by the registered nurse as completed on 2/1/13. During an interview on 2/11/13 at 3:30 PM, the Director of Nurses confirmed that the assessment had been completed greater than 14 days after Resident #3 was admitted to the home.</p>	R134	<p>Comprehensive nursing assessment will be done within 14 days of admission, medication management assessed within first 24 hrs. Flow sheet for forms to be completed will be checked and dated when done. Flow sheets with charts will be monitored by DNS.</p> <p>For effect, currently</p> <p>R134 POC accepted 3/1/13 JHosmerRN / Pmc</p>	

Division of Licensing and Protection

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

*Mary Kroling*

TITLE *Administration* (X6) DATE

*Pmc*

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R146 R146 SS=D	Continued From page 1  V. RESIDENT CARE AND HOME SERVICES  5.9.c (3)  Provide instruction and supervision to all direct care personnel regarding each resident's health care needs and nutritional needs and delegate nursing tasks as appropriate;  This REQUIREMENT is not met as evidenced by: Based on record reviews and staff interviews, the nurse failed to assure that all direct care staff were provided instruction and supervision regarding the delegated nursing tasks of monitoring of behaviors and administration of as needed medication for one of three residents in the sample (Resident #1). Findings included:  1. Per record review on 2/11/13, Resident #1 has an indwelling catheter (suprapubic). Review of nurse notes indicates that Resident #1 at times displays behaviors such as disconnecting the tubing from the catheter's collection bag, and and/or becomes resistant to hygienic care by the staff. During an interview with the Licensed Nurse Assistant (LNA) on 2/11/13 at 1:10 PM, s/he further stated that Resident #1 uses clothing to wipe up the spilled urine, and attempts to conceal the soiled clothing and catheter bag in his/her room. Resident #1 has a valid physician's order for as needed 0.5 mg of lorazepam (an anti-anxiety medication) which can be administered orally up to twice daily for anxiety and agitation. The written plan of care which directs the caregivers lacks specific instruction regarding the monitoring of specific behaviors, and additionally lacks specific direction for non-pharmacological interventions and/or the	R146  R146	<i>monitored behaviors, pain done by staff, for as needed medication staff will follow non-pharmacological interventions and direction for prn medications. Assessment plan protocol attached to each resident care plan documented on MAR as medication given  In effect currently  R146 POC accepted 3/7/13 JHosmer RN/PMC</i>	

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R146	Continued From page 2  administration of the as needed lorazepam. During an interview on 2/11/13 at 2:30 PM, the Director of Nurses (DON) confirmed that the delegated nursing tasks of behavior monitoring and as needed medication administration were not included in the written plan of care for Resident #1.	R146		
R167 SS=D	<p>V. RESIDENT CARE AND HOME SERVICES</p> <p>5.10 Medication Management</p> <p>5.10.d If a resident requires medication administration, unlicensed staff may administer medications under the following conditions:</p> <p>(5) Staff other than a nurse may administer PRN psychoactive medications only when the home has a written plan for the use of the PRN medication which: describes the specific behaviors the medication is intended to correct or address; specifies the circumstances that indicate the use of the medication; educates the staff about what desired effects or undesired side effects the staff must monitor for; and documents the time of, reason for and specific results of the medication use.</p> <p>This REQUIREMENT is not met as evidenced by: Based on record reviews and staff interviews, the nurse failed to assure that staff other than a nurse had specific instructions which describe specific behaviors and circumstances that indicate the use of as needed medications for one of three residents in the sample. (Resident #1). Findings included:</p> <p>1. Per record review on 2/11/13, Resident #1 at</p>	R167	<p>Non licensed staff will follow direction for PRN (as needed) psychoactive and pain medications. Flow sheet in room for psychoactive meds. Plan of direction of PRN is attached to care plan of resident in effort currently</p> <p>R167 DC accepted 3/7/13 Jhosmer RN / PMC</p>	

PRINTED: 02/19/2013  
FORM APPROVED

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R167	Continued From page 3  times displays behaviors such as disconnecting the tubing from his/her catheter's collection bag, and and/or becomes resistant to hygienic care by the staff. Resident #1 has a valid physician's order for as needed 0.5 mg of lorazepam (an anti-anxiety medication) which can be administered orally up to twice daily for anxiety and agitation. The written plan of care which directs the caregivers lacks specific instruction regarding the monitoring of signs of anxiety and specific behaviors, and additionally lacks specific direction for non-pharmacological interventions and/or the administration of the as needed lorazepam. During an interview on 2/11/13 at 2:30 PM, the Director of Nurses (DON) confirmed that the delegated nursing tasks of specific behavior monitoring, non-pharmacological interventions, and as needed medication administration were not specifically outlined in the written plan of care for Resident #1. The home did show evidence of a general intervention protocol for implementation before the use of as needed medications.	R167		