

**Adult Protective Services Program  
Quarterly Report  
July 1- September 30, 2013**

**Submitted to:** Sen. Ginny Lyons, Chair, Health Care Oversight Committee  
Rep. Jill Krowinski, Vice Chair, HCOC

**Submitted by:** Susan Wehry, Commissioner, AHS/DAIL 

**Prepared by:** Veda Lyon, Program Chief, Adult Protective Services

**Report Date** October 15, 2013

Pursuant to Act 46 reporting requirements, DAIL is pleased to provide the Adult Protective Services (APS) Quarterly Report, 1<sup>st</sup> Quarter for SFY 2014 (July 1 – September 30, 2013). During this period APS received a total of 1033 reports.

A. Of the 1033 total reports received:

- i. 368 were complaints regarding a licensed facility. These were referred to DLP's Survey and Certification unit for review, screening and follow up.
- ii. Of the remaining 665 reports, 333 (50%) were assigned and opened for investigation.

Notes: a) Total number of reports received was up slightly (5%) from the last Quarter, continuing an upward trend since January 2013; b) Total number of reports opened for this period is down slightly (3%) from the last Quarter; it is too early to determine if this represents a trend.

B. Of the 368 reports referred to Survey and Certification for follow-up:

- i. 191 reports were of resident to resident or patient to patient abuse
- ii. 177 reports were facility complaints within the jurisdiction of Survey/Certification.

Note: Total number of reports referred to Survey and Certification was up (4%) this reporting period; again, it is too early to identify this as a trend.

C. 332 reports not opened for the following reasons:

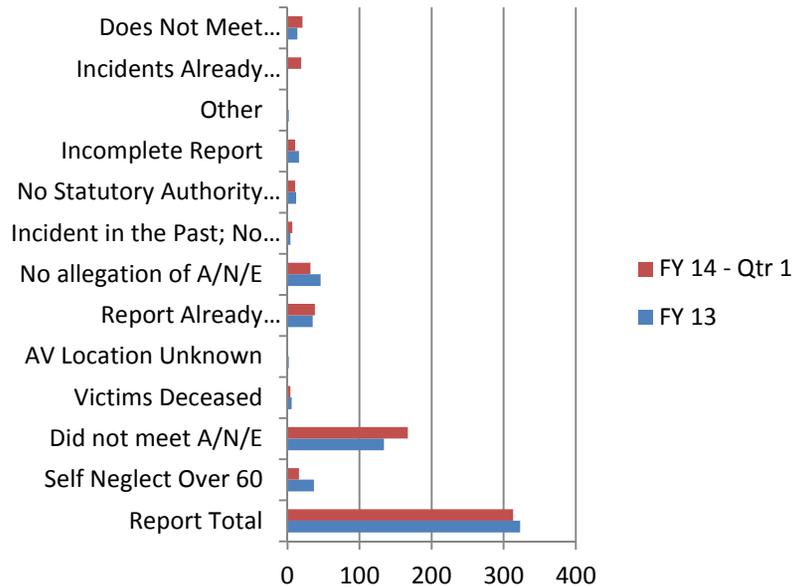
- i. 16 were reports of self-neglect by a person 60+ y.o., down slightly from last Quarter.
- ii. 167 reports lacked an allegation(s) of abuse, neglect or exploitation per statutory definition.
- iii. 4 reports were related to alleged victims who were deceased.
- iv. 105 reports were closed for one of the following reasons: victim's location unknown (1); report already investigated (38); no allegation of maltreatment (32); incident in past, no current need (7); no statutory authority to investigate (11); incomplete report (11).
- v. 19 reports were second reports of incidents currently under investigation; OR reports pending a final determination status.
- vi. 21 reports were closed because the alleged victim didn't meet the statutory definition(s) for a vulnerable adult.

- D. Of the 21 reports closed because the alleged victim didn't meet the statutory definition for a vulnerable adult, the relationship of the reporter to alleged victim included:
- i. 2 MH program staff
  - ii. 2 Friend of the alleged victim
  - iii. 1 Health or medical professional staff
  - iv. 1 Staff of a Home Health or VNA agency
  - v. 2 Relatives
  - vi. 1 Residential care home staff
  - vii. 2 Social Workers
  - viii. 1 School personnel
  - ix. 2 AAA
  - x. 2 Other professional
  - xi. 5 Other
- E. For those reports not opened for investigation, APS provided the reporter and/or victim with 467 referrals to other services or agencies, as follows:
- i. 59 to Area Agencies on Aging
  - ii. 376 to the Survey/Certification Unit of DLP
  - iii. 30 to a law enforcement agency (VSP and/or local law enforcement)
  - iv. 5 to VT Legal Aid
  - v. 5 to the Attorney General's Medicaid Fraud Unit
  - vi. 1 to Disability Rights VT
  - vii. 2 to the Office of Professional Regulation
- F. During the reporting period 331 investigations were completed. Of these:
- i. 36 (11%) were recommended as Substantiated.
  - ii. 295 (89%) were recommended as Not Substantiated; and
  - iii. 38 victims were provided coordinated treatment plans of service prior to closure.
- G. At the close of the reporting period, 346 investigations remained open and under investigation.
- H. During the reporting period 29 perpetrators' names were placed on the Adult Abuse Registry.

**Disability and Aging Services  
Licensing and Protection**

**Blind and Visually Impaired  
Vocational Rehabilitation**

## Reports Not Opened For Following Reasons



Reasons Reports Not Opened	FY 13	FY 14 - Qtr 1
Report Total	323	313
Self Neglect Over 60	37	16
Did not meet A/N/E	134	167
Victims Deceased	6	4
AV Location Unknown	2	1
Report Already Investigated	35	38
No allegation of A/N/E	46	32
Incident in the Past; No Current Need	4	7
No Statutory Authority to Investigate	12	11
Incomplete Report	16	11
Other	2	0
Incidents Already Investigated	0	19
Does Not Meet Definition of AV	14	21